

Attorney Docket: 108875-0080-101

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: BioSante Pharmaceuticals, Inc.

Application No./Patent No./Control No.: 7,217,421

Filed/Issue Date: May 15, 2007

NOVEL CANCER-ASSOCIATED ANTIGENS AND METHODS OF THEIR IDENTIFICATION AND USE

Entitled:

BioSante Pharmaceuticals, Inc.

, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

James McArthur, Ju-Fay Chang

1. From: Dale Ando, Margo Roberts To: Cell Genesys, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 011893, Frame 0170, or for which a copy thereof is attached.

2. From: William Nelson, Jonathan Simons To: Johns Hopkins University

The document was recorded in the United States Patent and Trademark Office at Reel 011893, Frame 0155, or for which a copy thereof is attached.

3. From: Cell Genesys, Inc. To: BioSante Pharmaceuticals, Inc.

The document was recorded in the United States Patent and Trademark Office at Reel 023973, Frame 0005, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

Date

Phillip B. Donenberg

847-476-0500

Printed or Typed Name

Telephone Number

CFO

Title